

10/09/01

1051 S. PTO

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

|  |              |                |                  |
|--|--------------|----------------|------------------|
| Attorney<br>Docket No.                         | 500.38665CX1 | Total<br>Pages | PTO<br>09/972178 |
| First Named Inventor or Application Identified |              |                |                  |
| SHIMOKAWA, et al.                              |              |                |                  |

**APPLICATION ELEMENTS**  
See MPEP Chapter 600 concerning utility patent application contents

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

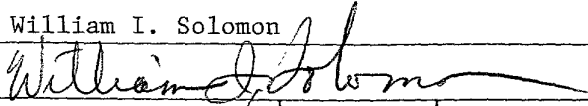
1. ☒ Fee: \$1838.00  
Please charge any shortages in the fees or credit any overpayments thereof to the deposit account of Antonelli, Terry, Stout & Kraus, LLP, Deposit Account No. 01-2135.
2. ☒ Specification [Total Pages 31]
3. ☒ Drawing(s) 35 USC 113) [Total Sheets 10]
4. Oath or Declaration
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below]
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☒ Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if application, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies
- ACCOMPANYING APPLICATION PARTS**
8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney (when there is an assignment)
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure ☒ Copies of IDS Statement (IDS)/PTO-1449 Citations
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application. Status still proper and desired.
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☒ Other: Claim for Priority and Credit Card Payment Form

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:  
☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/581,631

**18. CORRESPONDENCE ADDRESS**

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(Insert Customer No. or Attach bar code label here.)

|  |  |          |        |
|--|--|----------|--------|
| <b>11. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED</b> |  |          |        |
| NAME   | William I. Solomon   |          |        |
| SIGNATURE  |  |          |        |
| DATE   | October 9, 2001  | REG. NO. | 28,565 |

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